

AGENDA
THE BOARD OF REGENTS
OF THE UNIVERSITY OF NEBRASKA
Varner Hall
Friday, October 23, 2009
1:00 p.m.

I. CALL TO ORDER

II. ROLL CALL

III. APPROVAL OF MINUTES AND RATIFICATION OF ACTIONS TAKEN ON
SEPTEMBER 4, 2009

IV. KUDOS

Tim Fitzgerald, *Manager of Photography*, UNO
Joan DeHaven, *Accounts Payable Manager*, Finance Office, UNK
Nick Knopik, *Facilities Management and Planning*, UNMC
Suzanne Becking, *EEO/Instructional Technology Specialist*, UNL
Jeri Cunningham, *Office Associate/Department of Entomology*, UNL

V. PUBLIC COMMENT

(a) The standing rules of the Board provide that any person who gives 24 hours notice to the Corporation Secretary of the Board may speak to any item that is not on the agenda for up to five minutes. The Chairman has approved that the Board shall provide one hour for individuals to address the issue of embryonic stem cell research. Interested individuals were asked to contact the Corporation Secretary of the Board.

(b) The Standing Rules of the Board also provide that any person may appear and address the Board of Regents on any item on the agenda for this meeting. Each person will be given up to five minutes to make his or her remarks.

VI. POLICY ISSUES

Board of Regents Consideration of Human Embryonic Stem Cells

VII. HEARINGS

VIII. UNIVERSITY CONSENT AGENDA

A. ACADEMIC AFFAIRS

1. President's Personnel Recommendation Addendum VIII-A-1

B. BUSINESS AFFAIRS

University of Nebraska-Lincoln

1. Authorize the Chancellor to approve a contract for a 2009 postseason football game Addendum VIII-B-1

University of Nebraska at Omaha

University of Nebraska Central Administration

8. Approve the attached Resolution (1) approving the adoption of a Bond Resolution by the University of Nebraska Facilities Corporation authorizing the issuance of not to exceed \$60,000,000 aggregate principal amount of University of Nebraska Facilities Corporation Deferred Maintenance Bonds, Series 2009, (2) approving and authorizing the execution and delivery of a Financing Agreement, a Continuing Disclosure Certificate, a Tax Compliance Agreement, an Amended and Restated Depository and Disposition Agreement, an Inducement Letter, and a Preliminary Official Statement, (3) authorizing the sale of such Bonds at a negotiated sale and authorizing the Vice President for Business and Finance to determine interest rates (not to exceed a true interest cost of 4.0%), principal amounts, principal maturities and redemption provisions of such Bonds, and (4) approving the preparation and use of a Final Official Statement

Addendum IX-B-8

Additional Item – University of Nebraska Medical Center

9. Approve naming the UNMC Eye Institute building the “Stanley M. Truhlsen Eye Institute” Addendum IX-B-9

C. FOR INFORMATION ONLY

1. Board of Regents agenda items related to peal1tion AgR INFORMue2nl Cen05EicFinancing 97Tj-

VIII. UNIVERSITY CONSENT AGENDA

A. ACADEMIC AFFAIRS

1. President's Personnel Recommendation Addendum VIII-A-1

B. BUSINESS AFFAIRS

University of Nebraska-Lincoln

1. Authorize the Chancellor to approve a contract for a 2009 postseason football game Addendum VIII-B-1

University of Nebraska at Omaha

2. Approve the selection of _____ to provide architectural design services for the renovation of Roskens Hall at the University of Nebraska at Omaha [Note: The name of the firm selected will be provided on Thursday, October 22 prior to the Board meeting] Addendum VIII-B-2

The President's Personnel Recommendation
Meeting Date: October 23, 2009

Addendum VIII-A-1

The following appointment was authorized by President Milliken per Board of Regents Bylaw 2.2

University of Nebraska-Lincoln

Adjustment

Susan Fritz, Interim Dean and Director of the Agricultural Research Division (Special), Associate Vice Chancellor (Special) for the Institute of Agriculture and Natural Resources, and Professor (Continuous) Department of Agricultural Leadership, Education and Communication (AgLEC); effective 10/01/2009; \$190,572 FY, 1.00 FTE (includes Associate Vice Chancellor stipend of \$17,484 and Interim Dean and Director stipend of \$15,735). Add title Interim Dean and Director of the Agricultural Research Division (Special) and increase salary from \$174,837 (includes stipend of \$17,484)

TO: The Board of Regents Addendum VIII-B-1
Business Affairs

MEETING DATE: October 23, 2009

SUBJECT: University of Nebraska-Lincoln (UNL) 2009 Postseason Football Game

RECOMMENDED ACTION: Authorize the Chancellor to approve a contract for a 2009 postseason football game.

PREVIOUS ACTION: November 7, 2008 – The Board of Regents authorized the Chancellor of University of Nebraska-Lincoln to approve a contract for a 2008 postseason football game.

EXPLANATION: Postseason football game negotiations will soon begin. This authorization will assure adequate time to complete all arrangements for the postseason game.

PROJECT COST: None

SOURCE OF FUNDS: None

SPONSORS: Tom Osborne
Athletic Director

Christine A. Jackson
Vice Chancellor for Business & Finance

RECOMMENDED: Harvey Perlman, Chancellor
University of Nebraska-Lincoln

DATE: September 29, 2009

TO: The Board of Regents
Business Affairs

MEETING DATE: October 23, 2009

SUBJECT: Architect selection for the Renovation of Roskens Hall at the University of Nebraska at Omaha

RECOMMENDED ACTION: Approve the selection of Holland Basham Architects to provide architectural design services for the renovation of Roskens Hall at the University of Nebraska at Omaha (UNO).

PREVIOUS ACTION: June 12, 2009 - The Board of Regents approved the program statement and the preliminary budget for the Renovation of Roskens Hall at UNO.

EXPLANATION: A Project Evaluation Board consisting of the Assistant Vice President for Business and Finance/Director of Facilities Planning and Management, one representative from the UNO College of Education, one representative from UNO Facilities Management and Planning, and two external members have selected Holland Basham Architects to provide architectural design services for the renovation of Roskens Hall. This firm was selected from a field of seventeen firms submitting proposals

IX. UNIVERSITY ADMINISTRATIVE AGENDA

A. ACADEMIC AFFAIRS

1. Approve dissolution or merger of University of Nebraska Medical Center Multi-Departmental Academic Centers for Research, Teaching and/or Service

Vice President for Business and Finance to determine interest rates (not to exceed a true interest cost of 3.50%), principal amounts, principal maturities and redemption provisions of such Series 2009 Bonds, and (4) approves the preparation and use of a final Official Statement Addendum IX-B-6

7. Approve the program statement of a new Eye Institute building on the campus of the University of Nebraska Medical Center (UNMC), which authorizes UNMC to hire outside consultants and spend up to 3% of the preliminary Total Project Cost estimate to complete design through Intermediate Design Addendum IX-B-7

University of Nebraska Central Administration

8. Approve the attached Resolution (1) approving the adoption of a Bond Resolution by the University of Nebraska Facilities Corporation authorizing the issuance of not to exceed \$60,000,000 aggregate principal amount of University of Nebraska Facilities Corporation Deferred Maintenance Bonds, Series 2009, (2) approving and authorizing the execution and delivery of a Financing Agreement, a Continuing Disclosure Certificate, a Tax Compliance Agreement, an Amended and Restated Depository and Disposition Agreement, an Inducement Letter, and a Preliminary Official Statement, (3) authorizing the sale of such Bonds at a negotiated sale and authorizing the Vice President for Business and Finance to determine interest rates (not to exceed a true interest cost of 4.0%), principal amounts, principal maturities and redemption provisions of such Bonds, and (4) approving the preparation and use of a Final Official Statement Addendum IX-B-8

Additional Item – University of Nebraska Medical Center

9. Approve naming the UNMC Eye Institute building the “Stanley M. Truhlsen Eye Institute” Addendum IX-B-9

Recommend to be Merged into Other Approved Centers

TO:

The Board of Regents

Addendum IX-B-1

Business Affairs

MEETING DATE:

October 23, 2009

SUBJECT:

Capital Improvements for the Nebraska Unions, University Health Center and University Housing Facilities at the University of Nebraska-Lincoln (UNL)

RECOMMENDED ACTION:

Approve the attached Resolution to authorize expenditure of up to \$1,694,154 for capital improvements for the Nebraska Unions,

RESOLUTION

BE IT RESOLVED by the Board of Regents of the University of Nebraska (the "Board") as follows:

1. The Board hereby finds and determines:
 - (a) Pursuant to its Bond Resolution dated as of December 1, 1964, authorizing the issuance of Revenue Bonds by the Board (the "Resolution"), the Board has heretofore issued revenue bonds payable from the revenues and fees derived from the ownership and operation of the dormitories and other facilities for the housing and boarding of students, student unions, student health facilities and other facilities for the activities of students located on the campus of the University of Nebraska-Lincoln, under which a Surplus Fund was created;
 - (b) Section 6.2 of the Resolution requires the Board to operate the "facilities" (as defined in the Resolution) in an efficient, sound and economical manner and to keep all Facilities and betterments thereto in good repair, working order and condition and to make all necessary and proper repairs, renewals, replacements, additions, extensions and betterments thereto, so that at all times the business carried on in connection therewith shall be properly and advantageously conducted.
 - (c) The "facilities" include the Nebraska Unions, the University Health Center and all facilities and structures for the housing and boarding of students located and currently or hereafter existing on the campus of the University of Nebraska-Lincoln, which facilities require certain renewals, replacements, additions, betterments and extensions to maintain the Revenue and Fees. In order to accomplish such renewals, replacements, additions, betterments and extensions, the sum of \$1,694,154 should be expended from the Replacement Fund as indicated on the attached schedule.
2. Authorization. The Board hereby authorizes the transfer of up to \$1,694,154 from the Surplus Fund established pursuant to the Resolution to the Replacement Fund, and the expenditure of up to \$1,694,154 from the Replacement Fund for the projects herein identified. The Vice Chancellor for Business and Finance at the University of Nebraska-Lincoln, is hereby designated as the University representative who may certify to the Trustee the specific payments to be made from the Replacement Fund.
3. 1986 Surplus Fund. There currently are monies or investments in the Surplus Fund including accruals in excess of \$1,694,154.

University of Nebraska - Lincoln
Capital Improvement Requests
October 2009

SUMMARY	
Department	Funding Requested
Nebraska Unions	\$ 349,300
University Health Center	207,854
University Housing	1,137,000

77,750	Replace existing used chairs (800) and carts (10)
11,000	Replacement of damaged concrete
7,000	Maintenance of terrazzo floor

UNIVERSITY HOUSING
Detail of Improvement Requests

Project	Location	Funding Required	Justification
Roof Replacement	Selleck	\$400,000	Last phase – Replace leaking and aging 15-year roofs
Exterior Trim, Fascia, Soffits and Gutter	Neihardt	150,000	Replace/repair 75-year old wood; fourth year of 6-year project
Fire Escape	Selleck	150,000	Replace escape that is worn out, due to rust
Mattress replacement	Housing System	26,000	Replace worn out mattresses
Install Fire Sprinklers	Colonial Terrace Apartments	60,000	Fire/life safety in three 2-story quad apartment buildings
Install Fire Sprinklers	Vine Street Apartments	112,000	Fire/life safety
Building Foundation and Drainage	Housing System	30,000	Required repairs to eliminate water damage
Dish Machine	Selleck	209,000	Replace – Parts & service are no longer available (Selleck)-9683(209,000e1

TO:

The Board of Regents

Addendum IX-B-2

Business Affairs

MEETING DATE:

October 23, 2009

SUBJECT:

Improvements for the University of Nebraska-Lincoln (UNL) Parking and Equipment and construction of additional parking

RECOMMENDED ACTION:

Approve the Resolution to authorize the expenditure of up to \$1,116,500 from the Surplus Fund of the UNL Parking Revenue Bonds to improve certain property and equipment and

to pay the Costs of Construction of the Parking Project

PARKING IMPROVEMENT REQUESTS

University of Nebraska - Lincoln
October 23, 2009

Project	Location	Funding Required	Justification
Whittier Parking Lot Development	City Campus	\$406,500	New lot to address demand of newly renovated Whittier Building
Parking Lot Maintenance and Repair	City and East Campus	210,000	Maintenance and repair
1001 Y Street Development	City Campus	165,000	Develop new lot on recently acquired property
14 th & R Parking Lot Development	City Campus	140,000	Develop new lot to replace surface stalls displaced by building projects south side of campus
19 th			
		exist	structuresng

TO: The Board of Regents Addendum IX-B-3

Business Affairs

MEETING DATE: October 23, 2009

SUBJECT: Allwine Prairie/Glacier Creek Preserve

RECOMMENDED ACTION: Approve the Purchase Agreement by and between NS-The Heritage, L.L.C. (Heritage), the Pappio-Missouri River Natural Resources District (NRD) and the Board of Regents for approximately 83 acres of land adjacent to University of Nebraska at Omaha's Allwine Prairie Preserve.

PREVIOUS ACTION: September 8, 2006 – Approved an agreement by and between UNO, SID #531, and Heritage for a permanent sanitary sewer easement and temporary sewer construction easement through UNO's Allwine Prairie and fence improvements.

EXPLANATION: Funding from the NRD, a UNO Nebraska Environmental Trust grant and a portion of the proceeds to be received from Heritage for easement rights allows UNO to move forward with the phased expansion of the Allwine Prairie Preserve. Located at 14810 State Street, the 83 acres to the east and north of the existing 160 acre Allwine Prairie will be purchased from Heritage. The Big Papillion Creek will border the property on the east. A map of the subject parcel is attached.

In return for the NRD's contribution, UNO will grant to the NRD a mitigation bank easement. The University has no responsibility for costs associated with this easement. However, UNO will manage the established easement and property connecting to the Allwine Prairie, to provide a continuous, prairie-wetland complex in support of environmental education, research and native habitat conservation. The appraised value of the property is \$1,038,000. It is currently unimproved. An environmental assessment will be completed prior to closing.

The proposed agreement has been reviewed and approved as to form and content by the Office of the University General Counsel.

PROJECT COST: \$1,200,000

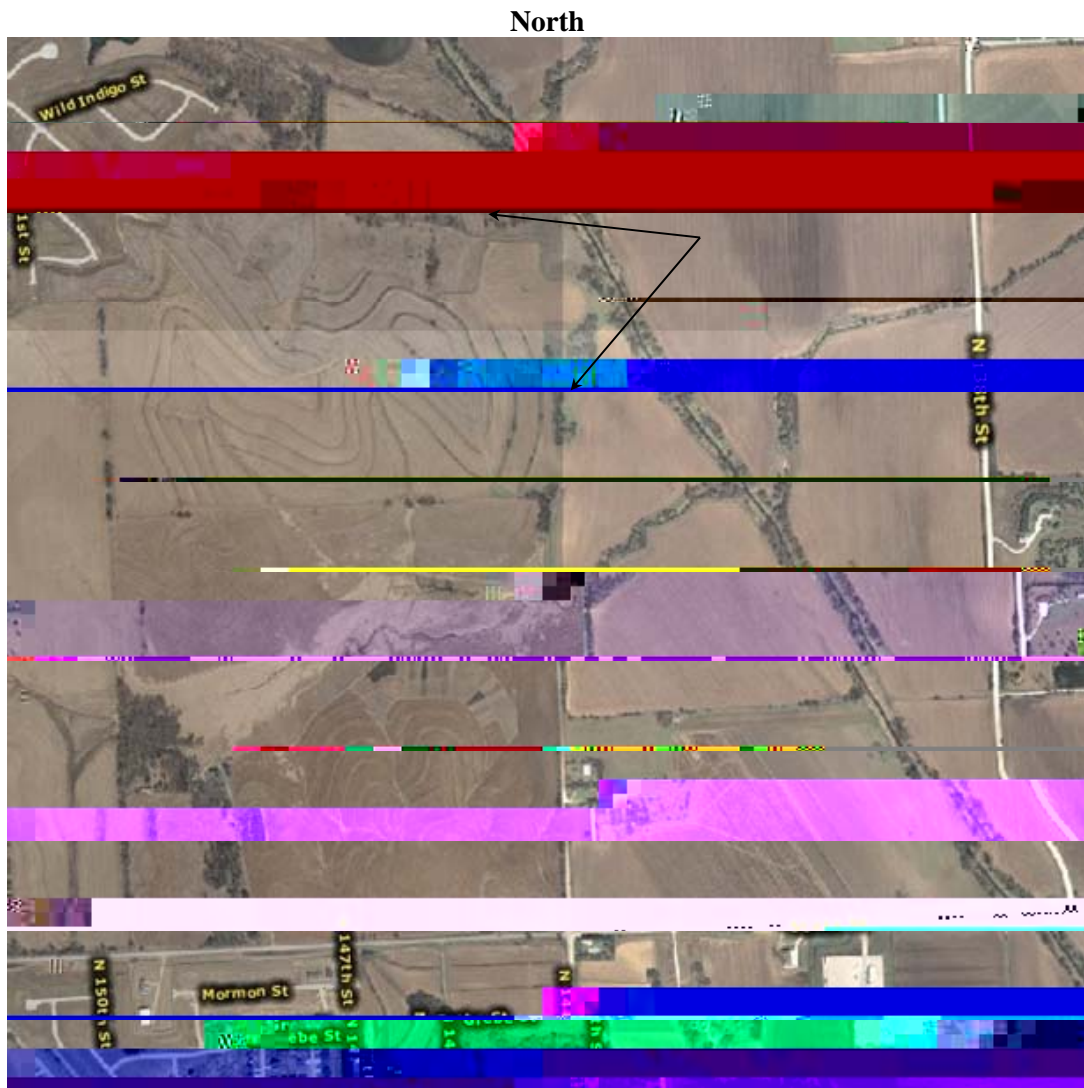
ON-GOING FISCAL IMPACT: Estimated Operating and Maintenance. No Additional Impact to UNO.

SOURCE OF FUNDS:	NRD	\$ 581,000
	NET grant	519,000
	UNO revolving funds	<u>100,000</u>

ALLWINE PRAIRIE/GLACIER CREEK PRESERVE Background Information

The Glacier Creek Preserve Project is designed to ensure the long-term viability of a unique prairie-wetland complex of habitats maintained to enhance environmental education and research for the University and for the Omaha area. This phase of the project is to acquire 83 acres of property adjacent to UNO's Allwine Prairie Preserve to extend the boundary to the Big Papillion Creek.

The University is joined by the Papio-Missouri River NRD to acquire the property. Acquisition of the property will provide a habitat corridor between the existing Allwine Prairie and the Big Papillion Creek. In addition to the habitat corridor, the expansion will (a) allow for the creation of a unique lowland creek and wetland complex and (b) prevent the ecological isolation of the existing preserve. In combination, these factors are critical to maintaining the long-term viability of this unique prairie-wetland-stream and woodland corridor complex for environmental education.



TO: The Board of Regents Addendum IX-B-4

Business Affairs

MEETING DATE: October 23, 2009

SUBJECT: Surface Parking Addition at the University of Nebraska at Omaha

RECOMMENDED ACTION: Approve the attached Resolution authorizing the expenditure of \$300,000 from the Sixth Series Surplus Fund to partially fund parking lot addition.

PREVIOUS ACTION: During the last five years the Board of Regents approved similar requests as follows:

<u>Prior approvals</u>	<u>Amount</u>
September 2009	\$1,175,000
April 2009	350,000
March 2009	526,000
March 2008	625,471
April 2006	900,000

EXPLANATION: Section 3.8.5 of the Bond Resolution permits the payment of the Costs of Construction or Acquisition of buildings or facilities of the type specified in Section 85-403, Reissue Revised Statutes of Nebraska, as amended,

**FIFTH SUPPLEMENTAL RESOLUTION
TO
SIXTH SERIES RESOLUTION**

Dated: October 23, 2009

ARTICLE XXXIX

BE IT RESOLVED by The Board of Regents of the University of Nebraska (the “**Board**”) as follows:

Section 39.1. Definitions. Unless the context shall clearly indicate some other meaning, for all purposes of this Article XXXIX, all words and terms used in this Article XXXIX which are defined in the Master Indenture, the General Resolution, the Sixth Series Resolution, or any Supplemental Resolution to the Sixth Series Resolution shall have the respective meanings given to them in the Master Indenture, the General Resolution, the Sixth Series Resolution, or such Supplemental Resolution. Unless the context shall clearly indicate some other meaning, the following words and terms shall for all purposes of the General Resolution, for all purposes of the Sixth Series Resolution, for all purposes of any Supplemental Resolution to the Sixth Series Resolution, for all purposes of this Article XXXIX and for all purposes of any certificate, opinion or other document therein mentioned have the following meanings with such definitions to be equally applicable to the singular and plural form of those words or terms having more than one number and vice versa.

Section 39.2 Findings and Determinations. The Board hereby finds and determines:

(a) Pursuant to its General Resolution, the Board has heretofore issued revenue bonds payable from the revenues and fees derived from the ownership and operation of the student center, certain student housing and certain parking facilities located on theits Geraska (the 22.0984 - TD. TD.0004 Tc1.1anybe ec

pay the portion of the Costs of Construction of the Parking Project is not more than \$300,000, and (3) the total of the Corresponding Net Revenues (as defined in **Section 3.8.D(3)** of the General Resolution) to be derived by the Board from its operation of the Parking Project during the period beginning on the date anticipated by the Board that the Parking Project will be ready for use and occupancy, and ending on May 15, 2039, the last date of maturity of the outstanding Parity Bonds issued on the Sixth Series Resolution

Parking Projects

<u>Project</u>	<u>Funding Required</u>	<u>Description</u>
Expand lot 9 on the Pacific Street location of the UNO campus	\$1,500,000	Total Project
	<u>(1,200,000)</u>	Parking replacement Funds (UNO Revolving funds)
		Add 825 stalls to lot 9, increasing the

TO:

The Board of Regents

Addendum IX-B-5

Business Affairs

The proposed interlocal agreement has been reviewed and approved as to form and content by the Office of the University General Counsel.

PROJECT COST: None

ON-GOING FISCAL
IMPACT: NA

SOURCE OF FUNDS: UNMC campus energy savings
The Nebraska Medical Center matching funds where applicable
OPPD energy incentives
American Recovery and Reinvestment Act of 2009
Nebraska State Energy Office

SPONSOR: Donald S. Leuenberger
Vice Chancellor for Business & Finance

RECOMMENDED: Harold M. Maurer, M.D., Chancellor
University of Nebraska Medical Center

DATE: October 1, 2009

TO: The Board of Regents Addendum IX-B-6
Business Affairs

MEETING DATE: October 23, 2009

SUBJECT: Financing for the OPPD Land Exchange Project at the University of

Of the buildings to be acquired by the Board, 41,000 square feet are suitable for renovation and long-term occupancy by UNMC. The remaining buildings will be razed to clear the site for future construction. The site also includes 400,000 square feet of concrete paved lay-down space, all of which will be used for parking in the short-term and some of which may be used for future building sites.

Under the terms of the Exchange Agreement, UNMC will construct new buildings and pave new lay-down space equivalent to OPPD's current buildings and paved lay-down space. UNMC will contract with OPPD for project management including coordination with the project architect. Completed project phases include: schematic design, design development, construction review, bidding [mid-Sept] and award [November]. Construction will take 14 months, and completion is scheduled for February 2011. Following completion, the Board and OPPD will agree on the closing date for the exchange which will be within 30 days following the issuance of Certificate of Occupancy for the new facilities.

The University of Nebraska Facility Corporation Bonds funding the project will be issued with a final maturity of up to 8 years. Current bond market conditions would provide an average interest rate of approximately 2.85% on the Bonds. The annual debt service (principal and interest) for the Series 2009 Bonds will match the anticipated receipt of the trust funds.

PROJECT COST:

Proposed size of Issue	\$13,500,000
Less: Deposit to Debt Service Reserve	(1,310,000)
Cushion for Interest Rate Changes and OID/OIP	(400,000)
Costs of Issuance, Rounding	<u>(140,000)</u>
Bond proceeds, net	11,650,000
UNMC Equity to Project	<u>4,950,000</u>

.1 Tmu9u E.,lrT(0 will co)-7.6(ntract with OPPD SPONSORS:([])6.1(ost75.44l Project

RESOLUTION

I.

Corporation, a copy of which is attached hereto as **Exhibit C**, the terms of which and

final Official Statement shall include the terms of the Bonds, are hereby approved and authorized for delivery to the purchasers of the Bonds.

V.

BE IT FURTHER RESOLVED BY THE BOARD that (a) the Tax Compliance Agreement dated the date determined jointly by the Vice President for Business and Finance and the Secretary/Treasurer of the Corporation among the Board, the Corporation and the Trustee to satisfy the requirements of the Internal Revenue Code of 1986, as amended, and the applicable regulations thereunder with respect to the Bonds in the form attached hereto as **Exhibit G** and made a part hereof by reference, and (b) the Continuing Disclosure Certificate dated the date determined by the Vice President for Business and Finance executed by the Board to satisfy the requirements of Rule 15c2-12 of the Securities and Exchange Commission with respect to the Bonds in the form attached hereto as **Exhibit H** and made a part hereof by reference, are each hereby approved, adopted, ratified and affirmed, together with such changes, additions, deletions or modifications as the Chair of the Board, University counsel and bond counsel shall approve as being in the best interests of the Board and the University of Nebraska.

VI.

BE IT FURTHER RESOLVED BY THE BOARD that the members and officers of the Board and the officials of the University of Nebraska, or any of them, be, and they hereby are, and each of them hereby is, authorized and directed to take any and all action including the execution of all papers, certificates, receipts and documents, they or any of them may deem necessary or desirable to effectuate, in accordance with the terms of this Resolution, the delivery of and payment for the Bonds.

VII.

BE IT FURTHER RESOLVED BY THE BOARD that all actions heretofore taken for or on behalf of, or in the name of the Board, by any of the members or officers thereof or by any officers of the University of Nebraska with respect to the authorization or offering for sale of the Bonds are hereby validated, ratified and confirmed.

outpatient services and increase clinical and translational research capacity as follows:

- € Outpatient Eye Care: Separate clinic areas for specialty care including general ophthalmology and cornea, glaucoma, retinal diseases and neuro-ophthalmology. Patient-care areas will be closely integrated with the clinical research and diagnostic center to support clinical research studies and also provide state-of-the-art

PROJECT COST:	Proposed start of construction: Proposed completion of construction: \$20,000,000	May 2011 December 2012
ON-GOING FISCAL IMPACT:	Estimated Operating and Maintenance 1% Assessment	\$541,990 200,000
SOURCE OF FUNDS:	Private Donations	
SPONSOR:	Donald S. Leuenberger Vice Chancellor for Business and Finance	
RECOMMENDED:	Harold M. Maurer, Chancellor University of Nebraska Medical Center	
DATE:	October 1, 2009	

provide the best possible education for trainees and community eye doctors to meet the future needs of Nebraskans.

The potential to achieve this vision is currently limited by the capacity, design and condition of the current eye clinic building.

It is recommended to construct a new state-of-the-art Eye Institute building to house the academic programs and faculty practice clinics of the DOVS, providing expanded education space, outpatient services and increase clinical and translational research capacity as follows:

- **Outpatient Eye Care:** Ambulatory clinic space designed to facilitate contemporary best clinic management practices will allow faculty to see patients and teach residents and fellows in more efficient and attractive space; including specific areas for general ophthalmology and cornea, glaucoma, retinal diseases and neuro-ophthalmology. Patient-care areas will be closely integrated with the clinical research and diagnostic center to support clinical research studies and also provide state-of-the-art patient care. Adjacent to the ambulatory clinic will be an optical dispensary shop to provide optical devices, glasses and contact lenses for patients.
- **Children's Eye Care Center:** A new center for children's eye care will provide a subspecialty referral center for pediatric eye care not currently available in our region and provide capacity for clinical research on pediatric eye diseases.
- **Clinical Research Center:** A dedicated area for clinical research will enable the department to bring breakthroughs achieved in the Truhlsen Eye Research Laboratories to patients in clinical studies.

Translational and clinical research space necessary to expand the department's research program will encourage collaboration among clinicians and basic scientists to focus on new approaches in treating vision loss. Targeted areas for research include stem cell therapies for retinal diseases and glaucoma, gene therapy, novel glaucoma treatments, and retinal prosthetic devices.

- **Regional Diagnostic Center:** State-of-the-art diagnostic equipment, not otherwise available in the community, will be provided for clinical research and advanced patient care and serve as a resource center for the local community and the region.

The diagnostic center will be equipped with state-of-the-art equipment in visual field testing, photography, laser imaging of ocular pathologies, and ultrasonography to visualize the different tissues in the eye and measure for intraocular implants in the eye. The proposed

surgical center will contain the capability for teleconferencing with ophthalmic surgeons in the local community and across the state to enable UNMC to bring advanced surgical techniques and innovations to private ophthalmologists across Nebraska.

The proposed Eye Institute will be designed to provide world-class facilities, unique to our region. A team from UNMC has visited prominent eye institutes across the country and incorporated some of the best ideas from these centers into plans for the UNMC Eye Institute. This will be an attractive, highly functional facility with features to make it possible to achieve national prominence. The new Eye Institute will enable the department to attract outstanding academic ophthalmologists in various subspecialties. We anticipate the recruitment of nine additional academic clinicians within the first five years.

C. Purpose and Objectives

The overarching purpose of the project is to establish a center for patient care, clinical research and education in ophthalmology unparalleled in the region. The new Eye Institute Building will be designed to facilitate achieving the following major objectives:

1. Clinical and translational research
 - a. Build a center of excellence targeting areas of current strengths. The proposed project will position UNMC to become a world leader in stem cell research for treatment of retinal diseases such as macular degeneration as well as for treatment of optic nerve diseases such as glaucoma and other optic neuropathies. The project will also target translational research for retinal prosthetic devices.

The existing UMA Eye Associate/Lion's Eye Bank Building, located at 40th Street and Dewey Avenue on the UNMC campus, was adapted for eye clinic use in 1989 and has now become obsolete and undersized for current clinical operations causing practice inefficiencies and limiting growth in clinical research, patient care and education. The building presents a questionable professional image at best. Its location lacks visibility and convenient patient access.

The DOVS will require a significant expansion of research, clinical, diagnostic and surgical facilities as well as the recruitment of talented academic ophthalmologists and researchers to progress to the next level of excellence in ophthalmic research, patient care, and education.

B. Alternatives Considered

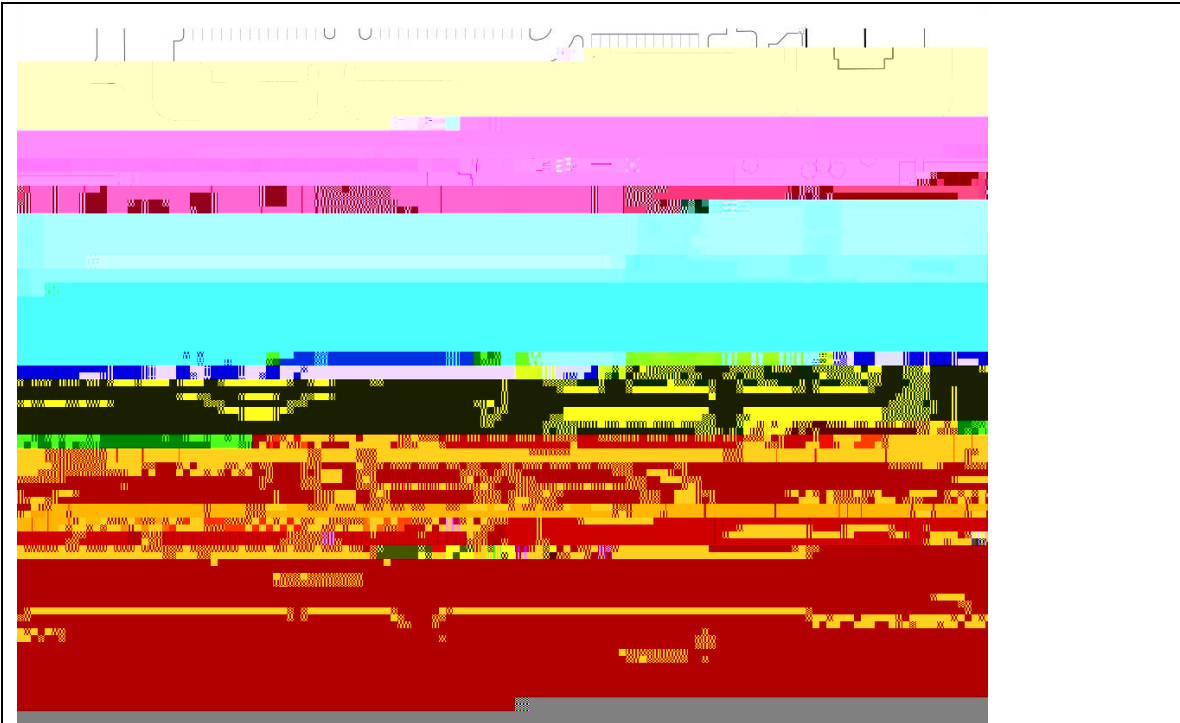
Alternative plans such as a multi-specialty center and inclusion of basic science laboratories within the proposed project were considered. The addition of an ambulatory surgery center was considered and deferred for construction under a separate project as funding becomes available. The proposed project was deemed to be the best alternative by providing a center with high visibility, convenient patient access, and by continuing to conduct basic eye research in the existing, world-class, basic science research facilities in the DRC).

III. LOCATION & SITE CONSIDERATIONS

A. County: Douglas

B. Campus: UNMC Campus in midtown Omaha

C. Proposed Site: The proposed project site is on property recently purchased for campus growth located at 40th and Leavenworth Streets.



D. Statewide Inventory: NA

E. Influence of Project on Existing Site Conditions:

1. Relationship to Neighbors: The project site is located at the southeast corner of the UNMC campus. This is a developing section of the campus, formerly a strip of light industrial and commercial buildings. The project will be compatible with neighboring businesses and is convenient to the Weigel Williamson Center for Visual Rehabilitation and the Home Instead Center for Successful Aging.
2. Utilities: Public electrical, water, sanitary sewer and storm sewer are available in adjacent public streets. Campus normal and emergency electrical power will be extended to the site. Heating and cooling will be produced on-site.
3. Parking and Circulation: The location for the new Eye Institute building will provide a location on the UNMC campus with good visibility, ease of patient access, surface parking and ease of access to public transit; all necessary to achieve the projected patient volumes. UNMC currently maintains 119 parking spaces on the property. The project will provide about 140 parking spaces on completion. Public vehicular access to the proposed site will be accommodated along 40th Street just north of Leavenworth Street. Public transportation is convenient to the site at 40th and Leavenworth Streets.

IV. COMPREHENSIVE PLAN COMPLIANCE

The University of Nebraska Medical Center is the only publicly supported institution responsible for the education of health professionals in Nebraska. The core of the mission of UNMC is to improve the health of Nebraska through premier educational programs, innovative research, the highest quality patient care and outreach to underserved populations.

A. University of Nebraska Strategic Framework: The project will support the following objectives of the University of Nebraska Strategic Framework 2008-2011:

1. The University of Nebraska will provide the opportunity for Nebraskans to enjoy a better life through access to high quality, affordable undergraduate, graduate and professional education.
 - a. Expand lifelong educational opportunities, including those for non-traditional and transfer students.
2. The University of Nebraska will build and sustain undergraduate, graduate and professional programs of high quality with an emphasis on excellent teaching.
 - a. Recruit and retain exceptional faculty and staff, with special emphasis on building and sustaining diversity.
 - b. Pursue excellence in programs where the university can be a regional, national and/or international leader.
 - c. Pursue excellence in programs aligned with the long-term interests of the state.
 - i. *Determine key areas of future workforce demand and strengthen or develop curricula and programs in alignment with those areas.*
 - d. Achieve university-wide and campus priorities through the strategic allocation of resources.
3. The University of Nebraska will play a critical role in building a talented, competitive workforce and knowledge-based economy in Nebraska in partnership with the state, private sector and other educational institutions.

- a. Improve entrepreneurship education, training and outreach.
4. The University of Nebraska will pursue excellence and regional, national and international competitiveness in research and scholarly activity, as well as their application, focusing on areas of strategic importance and opportunity.
 - a. Increase external support for research and scholarly activity.
 - b. Encourage and facilitate the commercialization of research and technology to benefit Nebraska.
 - c. Improve the quantity and quality of research space through public and private support.
5. The University of Nebraska will serve the entire state through strategic and effective engagement and coordination with citizens, businesses, agriculture, other educational institutions, and rural and urban communities and regions.
 - a. Support Nebraska's economic development.
6. The University of Nebraska will be cost effective and accountable to the citizens of the state.
 - a. Maintain competitive capital facilities.

B. University of Nebraska Medical Center Strategic Plan 2009-2012 (Applicable References)

1. Mission: The mission of the University of Nebraska Medical Center is to improve the health of Nebraska through premier educational programs, innovative research, the highest quality patient care, and outreach to underserved populations.

VI. FACILITY REQUIREMENTS

A. Functions and Purpose of the Proposed Program:

1. Activity Identification.

The main activities within the proposed building include:

- a. Outpatient eye care in all subspecialties.
- b. Clinical research.
- c. Ophthalmic diagnostic testing.
- d. Teaching of residents, fellows, and students on clinical rotations.
- e. Outreach.

2. Projected Occupancy, Use and Staffing:

Positions will be created for academic ophthalmologists who can accomplish the clinical research and attract the subspecialty referrals to achieve our objectives. We have examined the demographics and predicted needs of our community and region over the next 10 years and believe that the proposed eye institute will help meet the needs of our region with a positive impact on the private eye care community. This expansion will also increase educational opportunities for medical students, ophthalmology residents and fellows, optometry interns and technicians as follows:

Faculty	Current staff	Additional staff	Total after 5 yrs
Comprehensive	1	1	2
Retina/uveitis	2	1	3
Glaucoma	2	1	3
Pediatric ophthalmologist	0	2	2
Oculoplastics	1	1	2
Cornea	1	1	2
Refractive surgeon	0	1	1
Optometry	2	1	3
Neuro-ophthalmologists	1	0	1
Low vision specialist	1	0	1
TOTAL	11	9	20

Administrative and Clinical Research Support Personnel	Current	Additional	Total after 5 yrs.
Administrative Support			
Admin. Assistants	3	2	5
Administrator	1	0	1
Clinical Support Staff			
Research Coordinators	1	2	3
Technicians	13	10	23
Orthoptist	0	1	1
Manager	1	0	1
Billing	3	2	5
Reception/office	7	5	12
TOTAL	29	22	51

Functional Space Components		Qty	Unit NSF	Total NSF
Glaucoma/Neuro-ophthalmology				
545	Small Reception	1	60	60
545	Patient Waiting (15 seats @ 15sf)	1	225	225
540	Exam Rooms	7	120	840
540	Special 20' Exam Rooms	1	200	200
545	Patient Sub-Waiting	2	150	300
545	Staff Work Areas (2 per clinic)	2	25	50
545	Staff Work Room	1	100	100
545	Patient Toilets	2	60	120
545	Medication Closet	1	20	20
545	Discharge/Check out	1	60	60
540	Consult Room	1	100	100
Pediatrics				
545	Small Reception	1	60	60
545	Patient Waiting (20 seats @ 15sf)	1	300	300
545	Patient Sub-Waiting	2	300	600
545	Staff Work Areas (2 per clinic)	2	25	50
545	Staff Work Room	1	100	100
545	Patient Toilets	2	60	120
545	Medication Closet	1	20	20
545	Discharge/Check out	1	60	60
540	Consult Room	1	100	100
310	Billing	4	60	240
310	Phone Triage	2	60	120
310	Financial Counselor	1	120	120
545	Storage	1	120	120
545	Medical Records Storage	1	400	400
310	Surgical Coordinator	2	100	200
310	Clinic Case Manager/Manager	2	100	200
715	Comm Closets (one per clinic)	4	80	320
DIAGNOSTIC CENTER				
540	Testing Room	8	80	640
545	Testing Bay	3	120	360
310	Media Office/computer	1	180	180
545	Patient Education	1	100	100
545	Media Storage	1	180	180
545	Waiting Room	10	20	200
310	Office	1	100	100

Functional Space Components		Qty	Unit NSF	Total NSF
CLINICAL RESEARCH				
545	Waiting Room	1	120	120
310	Offices	2	120	240
540	Exam Rooms	4	120	480
545	Project Room	1	240	240
315	File Storage	1	200	200
545	Specimen Storage	1	60	60
545	Patient Toilet	1	60	60
MINOR SURGERY/LASER				
840	Minor Surgery/Procedure Room	1	180	180
840	Laser Procedure Room	3	100	300
845	Instrument Processing	1	80	80

Functional Space Components	Qty	Unit NSF	Total NSF
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C. Impact of the Project on the Program's Existing Facilities:

EYE INSTITUTE

B. Estimated Project Cost - UNMC Eye Institute			
1) BUDGET CATEGORY #1 -- CONSTRUCTION COSTS			
Construction Contract			
Building	GSF	\$/GSF	
a) Clinic/Office Space	47,000	\$ 239	11,235,000
Utilities to Site (Campus Power)			325,000
Sitework and Paving			520,000
SubTotal			12,078,000

TO: The Board of Regents Addendum IX-B-8

Business Affairs

MEETING DATE: October 23, 2009

SUBJECT: Bond Financing for LB 605 Phase II Renovation Projects

RECOMMENDED ACTION: Approve the attached Resolution (1) approving the adoption of a Bond Resolution by the University of Nebraska Facilities Corporation authorizing the issuance of not to exceed \$60,000,000 aggregate principal amount of University of Nebraska Facilities Corporation Deferred Maintenance Bonds, Series 2009, (2) approving and authorizing the execution and delivery of a Financing Agreement, a Continuing Disclosure Certificate, a Tax Compliance Agreement, an Amended and Restated Depository and Disposition Agreement, an Inducement Letter, and a Preliminary Official Statement, (3) authorizing the sale of such Bonds at a negotiated sale and authorizing the Vice President for Business and Finance to determine interest rates (not to exceed a true interest cost of 4.0%), principal amounts, principal maturities and redemption provisions of such Bonds, and (4) approving the preparation and use of a Final Official Statement.

PREVIOUS ACTION: July 28, 2006 – The Board approved a resolution authorizing issuance of not to exceed \$130,000,000 of University of Nebraska Facilities Corporation bonds and related agreements relating to the first phase of LB605 projects.

EXPLANATION: Facilities are vitally important in creating a competitive university and greatly enhances the efforts of faculty and staff in meeting the University's teaching, research and outreach missions. State-of- amand uby of vT:8Ntnal and uby withtution by

outset of LB605 planning, starting in spring 2010, this additional funding will be pledged to the LB605 issues.

The second phase of LB605 funding was originally targeted for the first quarter of 2010. However, with fixed-rate tax exempt debt markets at 40 year lows, the combination of historically low interest rates and softness in some sectors of the construction market make it prudent to obtain Board approval at this time, allowing management to place the debt into the marketplace as deemed most advantageous.

The University of Nebraska Facilities Corporation bonds funding the second phase will be issued with a final maturity up to eleven years. Current bond market conditions would provide an average interest rate of approximately 3.10% on the bonds.

PROJECT COST:	Proposed Size of Issue	\$60,000,000
	Deposit to Debt Service Reserve	(5,650,000)
	Cushion for Interest Rate Changes and OID/OIP	(3,600,000)
	Costs of Issuance, Rounding	<u>(750,000)</u>
	Net Deposit to Construction Funds	<u>\$50,000,000</u>

SOURCE OF FUNDS: State appropriation
University cash funds

SPONSORS: Rebecca H. Koller
Assistant Vice President for Business & Finance
Director of Facilities Planning & Management

David E. Lechner
Vice President for Business & Finance

RECOMMENDED: James B. Milliken
President

DATE: October 1, 2009

RESOLUTION

I.

**BE IT RESOLVED BY THE BOARD OF REGENTS OF THE UNIVERSITY OF
NEBRASKA (the "Board"**

President for Business and Finance executed by the Board to satisfy the requirements of Rule 15c2-12 of the Securities and Exchange Commission with respect to the Bonds in the form attached hereto as **Exhibit G** and made a part hereof by reference, are each hereby approved, adopted, ratified and affirmed, together with such changes, additions, deletions or modifications and the Chair of the Board, University counsel and bond counsel shall approve as being in the best interests of the University of Nebraska.

VI.

BE IT FURTHER RESOLVED BY THE BOARD that the Amended and Restated Depositary and Disposition Agreement dated as determined by the Vice President for Business and Finance between the Board and the State of Nebraska acting through the Department of Administrative Services in the form attached hereto as **Exhibit H** and made a part hereof by reference, is hereby approved, adopted, ratified and affirmed, together with such changes, additions, deletions or modifications and the Chair of the Board, University counsel and bond counsel shall approve as being in the best interests of the University of Nebraska.

VII.

BE IT FURTHER RESOLVED BY THE BOARD that the members and officers of the Board and the officials of the University of Nebraska, or any of them, be, and they hereby are, and each of them hereby is, authorized and directed to take any and all action including the execution of all papers, certificates, receipts and documents, they or any of them may deem necessary or desirable to effect, in accordance with the terms of this Resolution, the delivery of and payment for the Bonds.

VIII.

BE IT FURTHER RESOLVED BY THE BOARD that all actions heretofore taken for or on behalf of, or in the name of the Board, by any of th

C. FOR INFORMATION ONLY

1. Board of Regents agenda items related to the University of Nebraska Strategic Framework Addendum IX-C-1
2. Calendar of establishing and reporting accountability measures Addendum IX-C-2
3. Current version of the University of Nebraska Strategic Framework Addendum IX-C-3
4. Current version of the University of Nebraska Strategic Dashboard Indicators Addendum IX-C-4

Alignment of the University's Strategic Goals with Board of Regents Agenda Items
October 23, Meeting

TO: The Board of Regents
Academic Affairs

MEETING DATE: October 23, 2009

SUBJECT: Calendar of establishing and reporting accountability measures

RECOMMENDED ACTION: For Information Only

PREVIOUS ACTION: None

EXPLANATION: Attached is a calendar of establishing and reporting accountability measures.

RECOMMENDED: James B. Milliken, President
University of Nebraska

DATE: October 1, 2009

Strategic Framework Accountability Measure Reporting and Updating Calendar

Revised October 1, 2009

<u>Board Meeting Date</u>	<u>Academic Affairs Committee</u>	<u>Business Affairs Committee</u>	<u>Outreach and Economic Development Ad Hoc Committee</u>
October 23, 2009 <i>(usually reported in Nov.)</i>	Enrollment [1-b-i] Nebraska Top 25% [3-b-i] Nonresident Students [3-c-i]	Need-based Financial Aid [1-a-iii] <i>(carry over from Sept.)</i> Merit-based Scholarships [3-b-ii] <i>(carry over from Sept.)</i> LB 605 [4-a-iii] Administrative/Business Efficiencies [6-a-iii] <i>(Report on Endowments, Debt, LB 605, Capital Queue)</i> <i>(Report on Short-term Cash, Investments -- carry over from June)</i>	None
December 11, 2009	None	None	None
January 2010	Graduation Rates [1-b-iii]	Administrative/Business Efficiencies [6-a-iii] <i>(Report on Expenditures, SIS)</i>	None
March 2010	Research [4-a-i]	Administrative/Business Efficiencies [6-a-iii] <i>(Report on Capital Queue)</i>	Workforce Development [2-c-iii]
April 2010	Student Learning Assessment [6-f-i]	Faculty Merit Compensation [2-a-i] Administrative/Business Efficiencies [6-a-iii] <i>(Report on SIS, Human Resources)</i>	Entrepreneurship [3-d]
June 2010	None	State Funding [1-a-i] Tuition [1-a-ii] Administrative/Business Efficiencies [6-a-iii] <i>(Report on Short-term Cash, Investments, Capital Queue)</i>	None
September 2010	Gender/Minority Faculty Equity [2-a-iii]	Need-based Financial Aid [1-a-iii] Merit-based Scholarships [3-b-ii] Administrative/Business Efficiencies [6-a-iii] <i>(Report on SIS, SAP, Capital Queue)</i>	None

TO: The Board of Regents
Academic Affairs

MEETING DATE: October 23, 2009

SUBJECT: Current version of the University of Nebraska Strategic Framework

RECOMMENDED ACTION: For Information Only

PREVIOUS ACTION: None

EXPLANATION: Attached is the current version of the Strategic Framework document.

RECOMMENDED: James B. Milliken, President
University of Nebraska

DATE: October 1, 2009

INVESTING IN NEBRASKA'S FUTURE

Strategic Planning Framework -- Accountability Measures

An Implementation Tool for the Board of Regents and University Leadership

2008-2011

The University of Nebraska is a four-campus, public university which was created and exists today to serve Nebraskans through quality teaching, research, and outreach and engagement. The future of the State of Nebraska is closely tied to that of its only public university, and this framework will guide university-wide and campus planning to help build and sustain a Nebraska that offers its citizens educational and economic opportunity and a high quality of life.

The framework consists of six overarching goals emphasizing access and affordability, quality programs, workforce and economic development, research growth, engagement with the state, and accountability. Each goal has a number of related objectives which will be prioritized, and strategies and accountability measures will be developed for Board and university-wide monitoring over a multi-year period.

The university's efforts will not be limited to these priorities, as we expect to be able to measure progress in other areas given the interrelatedness of the objectives, other priorities of the Board and the President, and the mature and/or ongoing strategic planning efforts of the four campuses. Each campus has established a set of quality indicators with metrics that provide a means to evaluate achievement and momentum related to many of these objectives. Additional indicators will be

1. The University of Nebraska will provide the opportunity for Nebraskans to enjoy a better life through access to high quality, affordable undergraduate, graduate and professional education.
 - a. Maintain an affordable cost of education.
 - i. Secure state funding sufficient to support excellent programs.*

Reporting Period	Accountability Measure	Report Date	Reporting Committee
FY 2010-11	Attain sufficient state funding that will allow moderate and predictable tuition increases to fund biennial operating budget needs.	June 2010	Business

Reporting Period	Accountability Measure	Report Date	Reporting Committee
Fall 2009	Increase undergraduate enrollment 1.5% annually.	Oct. 2009	Academic
Fall 2010	Increase undergraduate enrollment 1.5% annually.	Nov. 2010	Academic
Fall 2011	Increase undergraduate enrollment 1.5% annually.	Nov. 2011	Academic

ii. Each campus shall exceed the average undergraduate freshman-to-sophomore retention rate of its peer institutions.

iii. Each campus shall maintain or reach the average undergraduate six-year graduation rate of its peer institutions.

Reporting Period	Accountability Measure	Report Date	Reporting Committee
2007-08 Academic Year	1) Each campus will maintain or reach the average six-year		

2. The University of Nebraska will build and sustain undergraduate, graduate and professional programs of high quality with an emphasis on excellent teaching.

a. Recruit and retain exceptional faculty and staff, with special emphasis on building and sustaining diversity.

i. *Faculty salaries and incentives (awarded on the basis of merit) and fringe benefits should exceed the average of peer institutions.*

Reporting Period	Accountability Measure	Report Date	Reporting Committee
FY 2008-09	<ol style="list-style-type: none"> 1) All salary increases should be awarded, to the extent possible, on the basis of merit. 2) Average faculty salaries on each campus shall meet or exceed the midpoint of peers. 3) Once the midpoint of peers has been met or exceeded, an exceptional merit fund shall be established to provide additional incentives related to performance. 	April 2010	Business
FY 2009-10	<ol style="list-style-type: none"> 1) All salary increases should be awarded, to the extent possible, on the basis of merit. 2) Average faculty salaries on each campus shall meet or exceed the midpoint of peers. 3) Once the midpoint of peers has been met or exceeded, an exceptional merit fund shall be established to provide additional incentives related to performance. 	April 2011	Business
FY 2010-11	<ol style="list-style-type: none"> 1) All salary increases should be awarded, to the extent possible, on the basis of merit. 2) Average faculty salaries on each campus shall meet or exceed the midpoint of peers. 3) Once the midpoint of peers has been met or exceeded, an exceptional merit fund shall be established to provide additional incentives related to performance. 	April 2012	Business

ii. *Each campus shall conduct campus climate surveys and minimize the differences in assessment of climate among various groups of employees, especially women and persons of color.*

iii. *Each campus shall endeavor to meet the university's ongoing commitments to faculty diversity, employing measures permitted by state and federal law.*

Reporting Period	Accountability Measure	Report Date	Reporting Committee
Fall 2009	<ol style="list-style-type: none"> 1) Increase faculty diversity, employing measures permitted by state and federal law. 2) Report on the diversity of the faculty and the relative rate of change in faculty composition as compared to peers. 	Sept. 2010	Academic
Fall 2010	<ol style="list-style-type: none"> 1) Increase faculty diversity, employing measures permitted by state and federal law. 2) Report on the diversity of the faculty and the relative rate of change in faculty composition as compared to peers. 	Sept. 2011	Academic
Fall 2011	<ol style="list-style-type: none"> 1) Increase faculty diversity, employing measures permitted by state and federal law. 2) Report on the diversity of the faculty and the relative rate of change in faculty composition as compared to peers. 	Sept. 2012	Academic

iv. Secure enactment of the Distinguished Professorship Act.

b. Pursue excellence in programs where the university can be a regional, national and/or

ii. *Increase support for merit-based scholarships.*

Reporting Period	Accountability Measure	Report Date	Reporting Committee
FY 2008-09	Raise at least \$6 million in private funds (endowment and/or spendable).	Oct. 2009	Business
FY 2009-10	Raise at least \$6 million in private funds (endowment and/or spendable).	Sept. 2010	Business
FY 2010-11	Raise at least \$6 million in private funds (endowment and/or spendable).	Sept. 2011	Business

c. Increase the number of nonresident students who enroll at the university.

i. *Increase enrollment of nonresident undergraduate students at UNL, UNO and UNK.*

Reporting Period	Accountability Measure	Report Date	Reporting Committee
Fall 2009	1) Increase the number of new nonresident undergraduate students by one percent annually. 2) Increase the retention rate of nonresident undergraduate students by one percent annually.	Oct. 2009	Academic
Fall 2010	1) Increase the number of new nonresident undergraduate students by one percent annually. 2) Increase the retention rate of nonresident undergraduate students by one percent annually.	Nov. 2010	Academic
Fall 2011	1) Increase the number of new nonresident undergraduate students by one percent annually. 2) Increase the retention rate of nonresident undergraduate students by one percent annually.	Nov. 2011	Academic

d. Improve entrepreneurship education, training and outreach.

Reporting Period	Accountability Measure	Report Date	Reporting Committee
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- f. Develop and strengthen internship and service learning opportunities with business, education, government, military, and nonprofit organizations.
4. The University of Nebraska will pursue excellence and regional, national and international competitiveness in research and scholarly activity, as well as their application, focusing on areas of strategic importance and opportunity.
- a. Increase external support for research and scholarly activity.
 - i. *Increase federal support for instruction, research and development, and public service.*

Reporting Period	Accountability Measure	Report Date	Reporting Committee
FY 2008-09	1) Increase UNL and UNMC federal research awards from all federal agencies at a rate 20% higher per year than weighted total national federal awards per year on three-year rolling average. 2)		

Fall 2011	Renovation projects proceeding on budget and on time.	Nov. 2011	Business
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- b. Increase undergraduate and graduate student participation in research and its application.
 - c. Encourage interdisciplinary, intercampus and inter-institutional collaboration.
 - d. Encourage and facilitate the commercialization of research and technology to benefit Nebraska.
 - e. Improve the quantity and quality of research space through public and private support.
5. The University of Nebraska will serve the entire state through strategic and effective engagement and coordination with citizens, businesses, agriculture, other educational institutions, and rural and urban communities and regions.
- a. Support economic growth, health and quality of life through policy initiatives consistent with university mission.
 - b. Recognize and reward faculty innovation and effectiveness in outreach and engagement.
 - c. Connect Nebraska cities, institutions, regions and communities through university programs.
 - d. Support Nebraska's economic development.

e. Implement measures of student learning and success outcomes.

i. *Compare and improve educational value-added performance.*

Reporting Period	Accountability Measure	Report Date	Reporting
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TO: The Board of Regents
Academic Affairs

MEETING DATE: October 23, 2009

SUBJECT: Current version of the University of Nebraska Strategic Dashboard Indicators

RECOMMENDED ACTION: For Information Only

PREVIOUS ACTION: None

EXPLANATION: Attached is the current version of the Strategic Framework Indicators.

RECOMMENDED: James B. Milliken, President
University of Nebraska

DATE: October 1, 2009

University of Nebraska Strategic Dashboard Indicators (October 23, 2009)

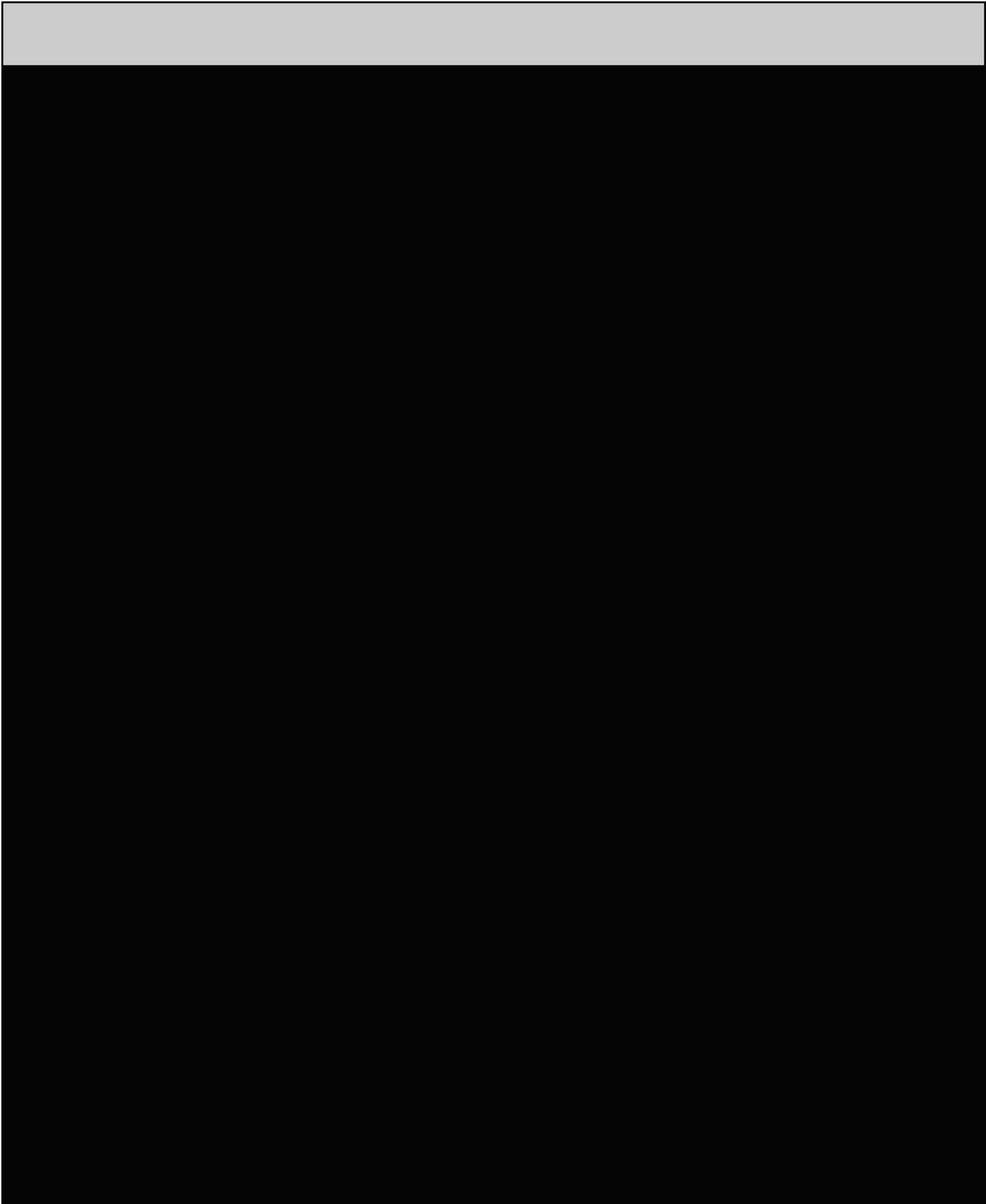
State Funding Change (Indicator 1.a.i) FY2009-10	Tuition Change (Indicator 1.a.ii) FY2009-10	Enrollment Change (Indicator 1.b.i) Fall 2008																							
<u>Target</u> <u>Performance</u>	<u>Target</u> <u>Performance</u>	<u>Target</u> <u>Performance</u>																							
Sufficient funding= Moderate tuition increase Performance: 1.5%	 Performance: 47.4%	 Performance: 7.9%																							
Minority Faculty (Indicator 2.a.iii) Fall 2008	Top 25% Enrollment (Indicator 3.b.i) Fall 2008	Nonresident Recruitment (Indicator 3.c.i) Fall 2008																							
Increase over 2006 Performance: 2008=15.19% 2007=14.50%	Greater than 45.9% Performance: 47.4%	Increase 1% over 2007 Performance: 7.9%																							
Nonresident Retention (Indicator 3.c.i) Fall 2008	Merit-Based Aid (Indicator 3.b.ii) FY2007-08																								
Increase 1% over 2007 Performance: 2008=76.1% 2007=76.9%	Increase private funds by \$6 million Performance: Increased by \$12.71 million																								
Six-Year Graduation Rate (Indicator 2.a.i)																									
<table border="0" style="width: 100%;"> <thead> <tr> <th style="text-align: left;"><u>Campus</u></th> <th style="text-align: left;"><u>Target</u></th> <th style="text-align: left;"><u>Performance</u></th> </tr> </thead> <tbody> <tr> <td> UNL</td> <td rowspan="4">Maintain or show progress toward reaching the average six-year graduation rate of peers.</td> <td>2007= -5.0%</td> </tr> <tr> <td> UNO</td> <td>2007= -3.5% 2006= -3.9%</td> </tr> <tr> <td> UNK</td> <td>2007= 6.2% 2006= -0.9%</td> </tr> <tr> <td>UNMC</td> <td>Not Applicable</td> </tr> </tbody> </table>	<u>Campus</u>	<u>Target</u>	<u>Performance</u>	UNL	Maintain or show progress toward reaching the average six-year graduation rate of peers.	2007= -5.0%	UNO	2007= -3.5% 2006= -3.9%	UNK	2007= 6.2% 2006= -0.9%	UNMC	Not Applicable	<table border="0" style="width: 100%;"> <thead> <tr> <th style="text-align: left;"><u>Campus</u></th> <th style="text-align: left;"><u>Target</u></th> <th style="text-align: left;"><u>Performance</u></th> </tr> </thead> <tbody> <tr> <td> UNL</td> <td rowspan="4">Significant progress toward exceeding midpoint of peers</td> <td>2009= -6.5%</td> </tr> <tr> <td>UNO</td> <td>2009= -4.8 2008= Midpoint</td> </tr> <tr> <td> UNK</td> <td>2009= -4.8 2008= Midpoint</td> </tr> <tr> <td> UNMC</td> <td>2009= -8.7% 2008= -9.9%</td> </tr> </tbody> </table>	<u>Campus</u>	<u>Target</u>	<u>Performance</u>	UNL	Significant progress toward exceeding midpoint of peers	2009= -6.5%	UNO	2009= -4.8 2008= Midpoint	UNK	2009= -4.8 2008= Midpoint	UNMC	2009= -8.7% 2008= -9.9%
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LEGEND:



University of Nebraska Strategic Dashboard Indicators (October 23, 2009)

Indicator



D. REPORTS

1. Fall 2009 Headcount and Semester Credit Hour Addendum IX-D-1
2. Periodic Review of Multi-Departmental Academic Centers for Research, Teaching, and/or Service Addendum IX-D-2
3. Bids and Contracts Addendum IX-D-3
4. Report on the Othmer-Topp Endowment Fund, second priority uses, for the fiscal year ended June 30, 2009 Addendum IX-D-4
5. Disposal of Real Property at 3805 North 16th Street, Omaha, Nebraska by the University of Nebraska at Omaha Addendum IX-D-5

Strategic Framework Reports

6. Need-based Financial Aid [1-a-iii] Addendum IX-D-6
7. Merit-based Scholarships [3-b-ii] Addendum IX-D-7
8. Administrative Efficiencies [6-a-iii]: Short-term Investments Addendum IX-D-8

Fall 2009 Fall 2008 Difference % Change

UNIVERSITY OF NEBRASKA - LINCOLN

Undergraduate

Agricultural Sciences & Natural Resources	1,758	1,642	116	7.1%
Architecture	491	532	(41)	-7.7%
Arts & Sciences	4,801	4,250	551	13.0%
Business Administration	3,009	3,017	(8)	-0.3%
Education and Human Sciences	2,900	2,727	173	6.3%
Engineering	2,580	2,514	66	2.6%
Fine & Performing Arts	673	647	26	4.0%
Journalism & Mass Communications	884	868	16	1.8%
General Studies	1,652	2,146	(494)	-23.0%
Visiting	207	183	24	13.1%

First-Time Freshmen 3,986 4,200 (214) -5.1%

Undergraduate Subtotal 18,955 18,526 429 2.3%

Graduate 4,591 4,500 91 2.0%

Professional

Architecture	85	68	17	25.0%
Education and Human Sciences	17	19	(2)	-10.5%
Law	402	410	(8)	-2.0%
Veterinary Medicine	50	50	0	n/a

Professional Subtotal 554 547 7 1.3%

UNL TOTAL 24,100 23,573 527 2.2%

UNIVERSITY OF NEBRASKA MEDICAL CENTER

Undergraduate

Dentistry (Dental Hygiene)	49	48	1	2.1%
Allied Health (BS)	89	89	0	0.0%
Nursing	652	656	(4)	-0.6%
Visiting	21	13	8	61.5%

Undergraduate Subtotal 811 806 5 0.6%

Graduate 708 698 10 1.4%

Professional

Allied Health (post-BS)	276	261	15	5.7%
Nursing Practitioner	17	18	(1)	-5.6%
Medical Family Therapy		0	0	0.0%
Radiology Oncology Physics	1	0	0	100.0%
Pharmacy	271	267	4	1.5%
Dentistry	198	201	(3)	-1.5%
Medicine (M.D.)	490	483	7	1.4%
Medicine (Post M.D.)	465	460	5	1.1%

Professional Subtotal 1,718 1,690 28 1.7%

UNMC TOTAL 3,237 3,194 43 1.3%

UNIVERSITY OF NEBRASKA AT OMAHA

Undergraduate

Arts & Sciences	3,475	3,347	128	3.8%
Business Administration	1,952	2,044	(92)	-4.5%
Communication, Fine Arts and Media	1,157	1,080	77	7.1%
Education	1,453	1,418	35	2.5%
Information Science & Technology	604	596	8	1.3%
CPACS	698	680	18	2.6%
Continuing Studies	1,046	952	94	9.9%
Non-Degree	273	282	(9)	-3.2%
University Division	896	928	(32)	-3.4%

First-Time Freshmen 1,816 1,818 (2) -0.1%

Undergraduate Subtotal 11,554 11,327 227 2.0%

Graduate 3,066 2,886 180 6.2%

UNO TOTAL 14,620 14,213 407 2.9%

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	Full-Time	Part-Time	Full-Time	Part-Time	Full-Time	Part-Time
UNL						
Undergraduate	17,737	1,218	17,283	1,243		



Fall 2009	Fall 2008	Difference	% Change
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UNIVERSITY OF NEBRASKA
SUMMARY OF STUDENT CREDIT HOURS

Student credit hours are assigned to the campus which grants the credit to the student.

Fall Semester, 2009

	Fall 2009	Fall 2008	Difference	% Change
UNL	298,610	292,004	6,606	2.3%
UNMC	46,617	45,578	1,039	2.3%
UNO	161,274	155,860	5,414	3.5%
UNK	76,541	76,121	420	0.6%
University of Nebraska Total	583,042	569,563	13,479	2.4%

Source: UNL, UNO, UNK Office of Institutional Research; UNMC Office of Academic Records

Number of credit hours for each campus, with details by College of Faculty and College of Student follows.

Comm.,

Change

UNIVERSITY OF NEBRASKA AT KEARNEY

STUDENT CREDIT HOUR REPORT

Student credit hours are assigned to the campus which grants the credit to the student.

Fall Semester, 2009

COLLEGE OF FACULTY							
COLLEGE OF STUDENT (a)	Business & Tech	Education	Fine Arts & Humanities	Natural & Social Sciences	Fall 2009 Total	Fall 2008 Total	Change From Fall 2008
Business & Technology	10,573	478	2,448	3,469	16,968	17,607	(639)
Education	996	7,933	2,721	3,870	15,520	14,613	907
Fine Arts & Humanities	517	795	6,500	1,962	9,774	9,838	(64)
Natural & Social Sciences	1,166	1,379	2,481	10,781	15,807	15,614	193
University College	1,170	722	2,282	5,182	9,356	9,923	(567)
Non-degree (a)	124	57	218	121	520	645	(125)
Graduate	399	5,563	898	1,736	8,596	7,881	715
TOTAL FALL 2009	14,945	16,927	17,548	27,121	76,541		
TOTAL FALL 2008	15,594	16,084	17,333	27,110		76,121	
CHANGE FROM FALL 2008	(649)	843	215	11			420
% CHANGE	-4.2%	5.2%	1.2%	0.0%			0.6%

Source: UNK Institutional Research

(a) Effective Fall 2005, non-degree category reported separately.

UNIVERSITY OF NEBRASKA MEDICAL CENTER

STUDENT CREDIT HOUR REPORT

Student credit hours are assigned to the campus which grants the credit to the student.

TO: The Board of Regents Addendum IX-D-2
Academic Affairs

MEETING DATE: October 23, 2009

SUBJECT: Periodic Review of Multi-Departmental Academic Centers for Research, Teaching, and/or Service

RECOMMENDED ACTION: Report

PREVIOUS ACTION: September 5, 2008 – Schedule for periodic Review of Multi-Departmental Academic Centers for Research, Teaching, and/or Service was reported to the Board of Regents

EXPLANATION: Section 2.11 of the *Bylaws of the Board of Regents* requires the President to conduct periodic reviews of all Multi-Departmental Academic Centers for Research, Teaching and/or Service on a timetable appropriate to the nature of the center but not less frequently than every five years, and report the results of periodic reviews to the Board of Regents.

This report lists the Multi-Departmental Academic Centers for Research, Teaching and/or Service that were reviewed in 2008, and includes the results of these periodic reviews.

SPONSOR: Linda Ray Pratt
Executive Vice President and Provost

RECOMMENDED: James B. Milliken
President

DATE: October 1, 2009

University of Nebraska Academic/Research Centers Reviewed in 2008-2009

Nebraska Center for Materials and Nanoscience ⁽²⁾⁽³⁾	1990	✓ R	NRI Review every 7 years	Continue
Veterinary Diagnostic Center ⁽²⁾	1924	IANR, BMS	at Biomedical & APR	Continue
W. Eck Center for Mesospin and Quantum Information Systems ⁽²⁾⁽⁶⁾	Variable	✓ R	Nebraska Materials and Nanoscience Center review	Postponed
Center for Clinical Research (CRC) ⁽²⁾⁽⁵⁾	1996	✓ R	RRB Review with ✓R	Merge
Center for Environmental Health and Toxicology ⁽⁴⁾	1997	CoPH and ✓R	RRB Review with ✓R	Continue
Center for Health Services Research	1991	CoPH and ✓R	RRB Review with ✓R	Continue
Center for Molecular Genetics and Genomics ⁽⁵⁾	1999	COM and ✓R	RRB Review with ✓R	Merge
Center for Rural Health Research ⁽⁵⁾	1990	CoPH	RRB Review with ✓R	Merge
Eppley Cancer Center	1993	✓ R	RRB Review with ✓R	Continue
Health Professions Training Center (Clinical Research Center) ⁽²⁾⁽⁵⁾	Variable	✓ R	RRB Review with ✓R	Discontinue
Nebraska Center for Biosecurity (all campuses, administered by UNMC)	2002	✓ R	RRB Review with ✓R	Discontinue
Nebraska Informatics Center for the Life Sciences (with UNL & UNO) ⁽⁵⁾	2001	EI and ✓ R	RRB Review with ✓R	Discontinue
Rural Policy Research Institute & Great Plains Center for Health Statistics ⁽⁵⁾	2002	CoPH and ✓R	RRB Review with ✓R	Merge

CoS Annuport; if not then UNL will ask for authorization to do

Center for Research of Biomechanics

1986

College of Education

TO: The Board of Regents Addendum IX-D-3

Business Affairs

MEETING DATE: October 23, 2009

SUBJECT: Report of Bids and Contracts

RECOMMENDED ACTION: Report

PREVIOUS ACTION: None

EXPLANATION: The attached report is a summary of bids and contracts as provided by the campuses pursuant to Section 6.4 of the *Bylaws of the Board of Regents of the University of Nebraska* for the period ended September 30, 2009.

The report outlines the following: type of action; campus; description and use of the product, service, or project; funding source; approved budget amount; contract amount; contractor or vendor; and a bid review or bid explanation if the low responsible bid was not accepted.

SPONSOR: David E. Lechner
Vice President for Business and Finance

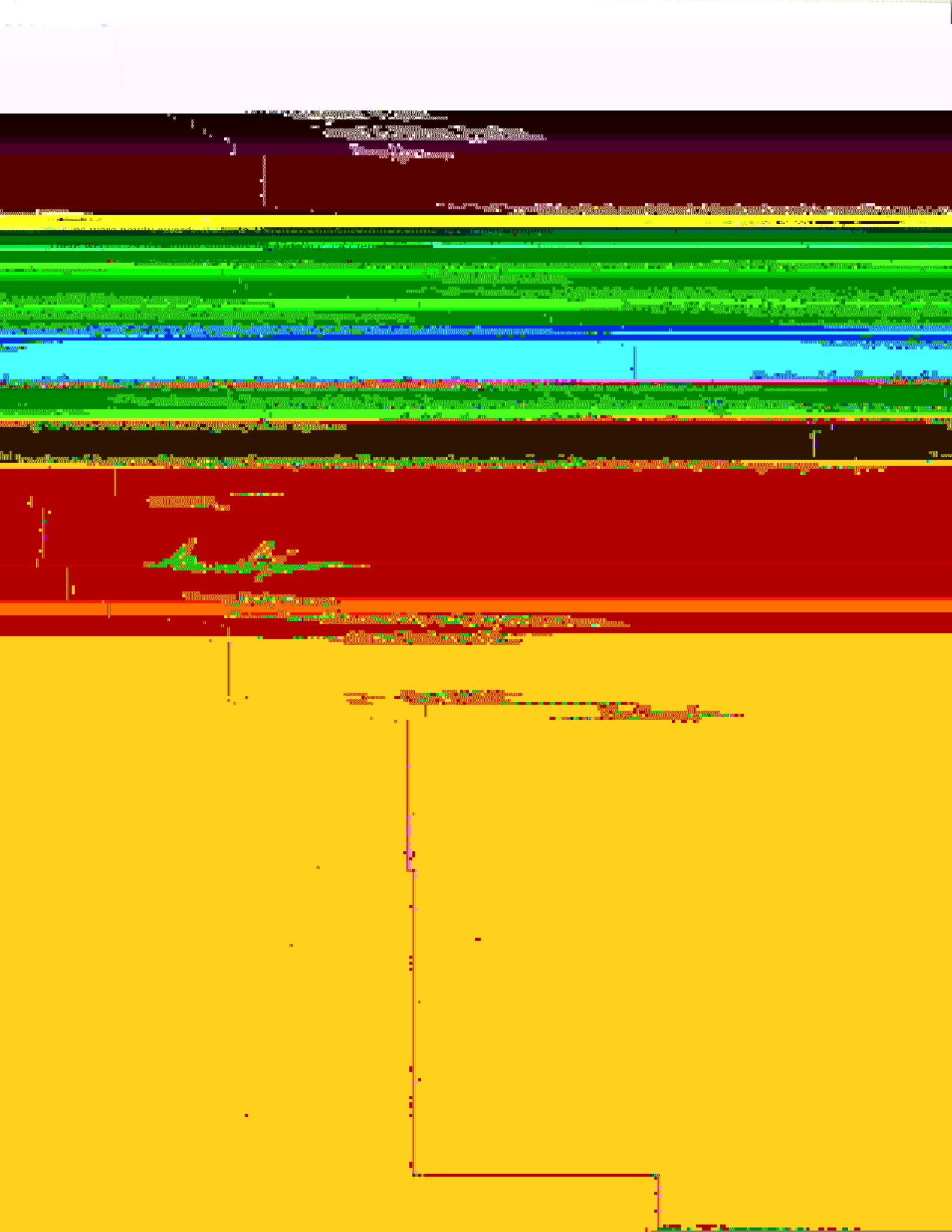
DATE: October 1, 2009

Personal Property	UNMC	Live Animal Imaging System	College of Medicine Pathology/ Microbiology NIH Funds	\$336,963	\$336,963	Caliper Life Science, Inc	Sole Source: Compatible with existing equipment
Personal Property	UNO	Telecommunications Call Manager System Telecommunications System Installation	Revolving Funds	286,714	168,158 89,695	North American Communication Resources (NACR) Inc.	Low Responsible Bid



OFFICE OF THE CHANCELLOR

University of Nakuru
P.O. Box 102314
Industrial Area
East Nakuru, 0745



TO:

The Board of Regents

Addendum IX-D-5

Business Affairs

MEETING DATE:

October 23, 2009

TO: The Board of Regents Addendum IX-D-6

Academic Affairs

MEETING DATE: October 23, 2009

SUBJECT: Strategic Framework report on Need-Based Financial Aid

RECOMMENDED ACTION: Report

PREVIOUS ACTION: September 5, 2008– A report on Need-based financial aid was presented to the Board of Regents by the Academic Affairs Committee

EXPLANATION: Attached is a new Strategic Framework report on Need-Based Financial Aid (Strategic Framework Item 1.a.iii). Past reports for this indicator have been provided as powerpoint presentations during Board of Regents meetings. The new dashboard reporting format for this indicator should streamline data presentation while providing key information about this strategic framework item.

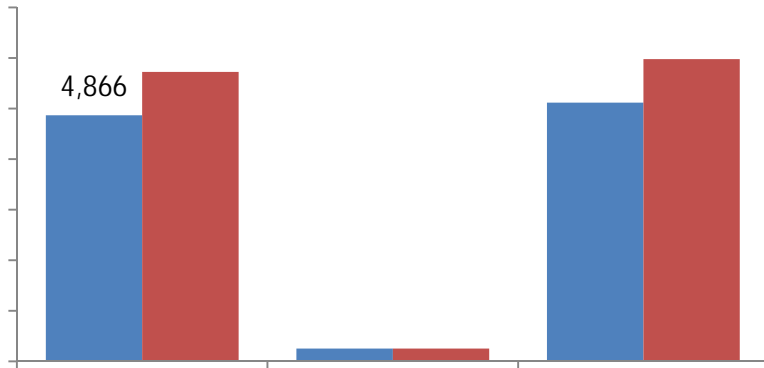
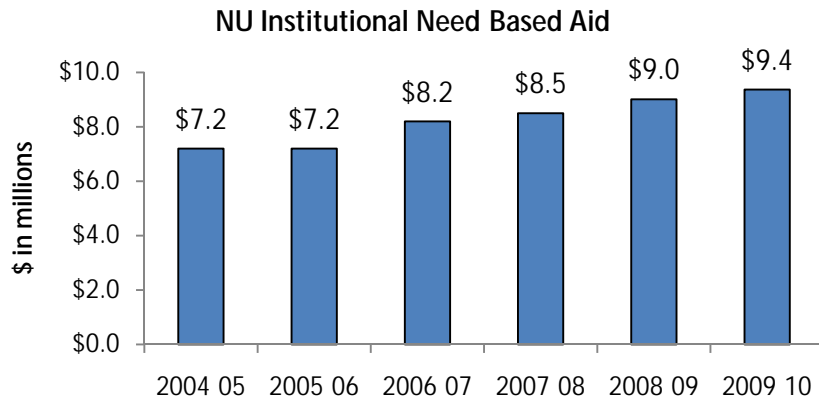
An expanded Tuition Assistance Program has been implemented in accordance with the strategic plan and the program has been renamed Collegebound Nebraska. Expected Family Contribution guidelines for program participation have been expanded to include students with family incomes above Pell grant eligibility levels. For 2009-10, students with a family income of up to approximately \$50,000 (or an EFC of \$5,000) will be program eligible. Collegebound Nebraska awards increased about 4% between 2008-09 and 2009-10. Institutional commitments for need-based aid increased by about the same percentage during the period and total \$9.4 million for 2009-10.

Foundation funds for need-based aid totaled \$13.34 million for 2008-09, which represented an increase of 52.1% over 2007-08 (\$8.77 million). Sixty percent of these funds were permanent endowments, 39% expendable funds and 2% quasi-endowments. The largest source of Foundation funds for need-based aid was corporate foundations, followed by donations from individual alumni and non-alumni.

Strategic Framework 1.a.iii Need-Based Financial Aid

Metric 1:

1) Implement the Plan to expand the Tuition Assistance Program from Jan. 2008.

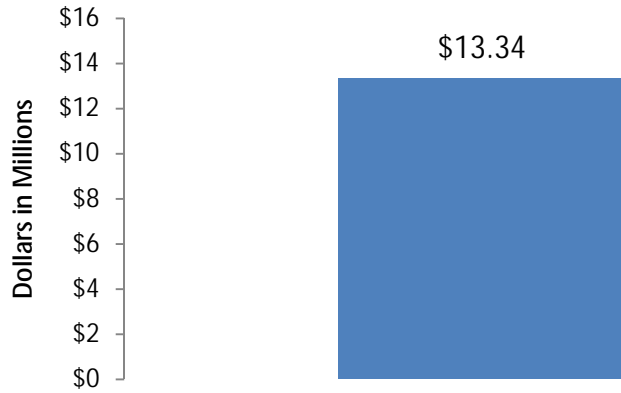


Strategic Framework 1.a.iii
Need-Based Financial Aid

Metric 2:

2) Raise at least \$6 million in private funds

Foundation Need Based Aid Funds 2008 09



Proposed Accountability Measure

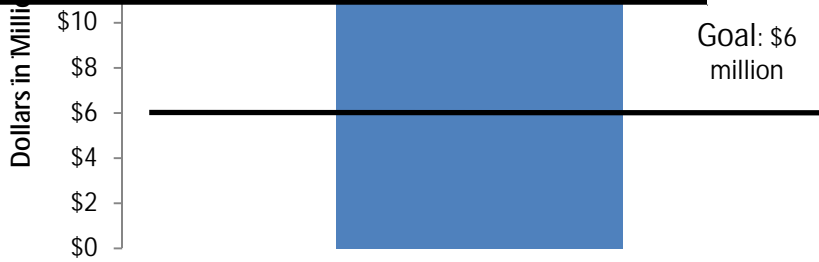
1. The University of Nebraska will provide the opportunity for Nebraskans to enjoy a better life

TO: The Board of Regents Addendum IX-D-7
Academic Affairs

MEETING DATE: October 23, 2009

SUBJECT: Strategic Framework report on Merit-Based Financial Aid

RECOMMENDED ACTION: Report



Key Facts

- Total merit based foundation aid funds in 2008-09 equaled \$13,684,108. Foundation merit based funds increased over 10% from 2007-08.
- Expendable funds accounted for the majority of merit based aid funds in 2008-09.
- Top sources of merit based foundation aid are



Proposed Accountability Measure

Reporting Period	Accountability Measure	Report Date	Reporting

TO: Board of Regents Addendum IX-D-8

MEETING DATE: October 23, 2009

SUBJECT: Strategic Framework Report

RECOMMENDED ACTION: Report

PREVIOUS REPORT: November 15, 2008 – The Board of Regents received an update

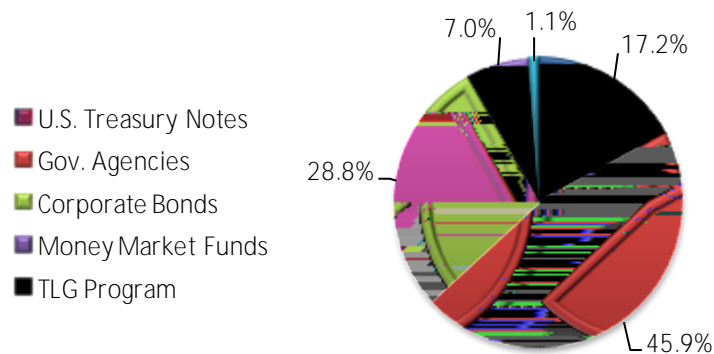
Operating Investment Pool

ASSET ALLOCATION

The portfolio is structured with high quality instruments such as treasuries, government agencies, and corporate bonds with laddered maturities extending ten years. The Council established an Investment Policy Statement for the OIP that includes credit quality and diversification constraints to provide safety of principal, liquidity for the daily cash flow needs of the entities within the OIP, and return on investment. Below are the current portfolio constraints.

U.S. Treasury Securities	15% minimum
Government Agency Securities	50% maximum 15% maximum per issuer
Commercial Paper	5% maximum per issuer
AAA rated Corporate Bonds	30% maximum, 5% per issuer
AA rated Corporate Bonds	20% maximum, 2% per issuer
A rated Corporate Bonds	5% maximum, 1% per issuer
Money Market Funds	15% maximum

On the following page is a pie chart reflecting the asset allocation of the OIP.



PERFORMANCE SUMMARY

The underperformance of the portfolio is partially attributed to the benchmark holding 44.5% in Treasuries compared to 17.2% Treasuries held in the OIP. Due to the flight to quality, Treasury securities outperformed the other fixed income securities during 2008. The portfolio also holds a considerable amount of callable agency securities which provide a higher income rate for the

participants. The Fed lowered the Fed Funds rate seven times during 2008 causing many of these bonds to be called and the proceeds to be reinvested in bonds with lower yields.

